

BMO INVESTMENTS INC. TRUSTED CONTACT PERSON (TCP) FORM

RISR Code

Transit

1 ABOUT YOU

☐ Mr. ☐ Mrs. ☐ Miss ☐ Ms. ☐ Dr. ☐ Other _____

Last Name

First Name

Telephone Number (Residence)

Telephone Number (Business)

Mobile Number (Residence)

Mobile Number (Business)

Email Address

2 BMO INVESTMENTS INC. TRUSTED CONTACT PERSON (TCP) DISCLOSURE

As a registered mutual fund dealer, BMO Investments Inc. (BMOII) is required by law to ask you for the name and contact information of one or more Trusted Contact Person(s) (TCP(s)). A TCP is a person that you trust who can assist us in protecting your investments.

You are not obligated to provide us with, or otherwise name, a TCP, and you may tell us that you do not wish to designate a TCP for your BMOII accounts. We nevertheless encourage you to do so. By naming a TCP you are allowing us to contact them to confirm or make inquiries about any of the following concerns (hereinafter referred to as "Concerns"):

- concerns we may have that you might be a victim of financial exploitation which could include fraud, coercion, or unauthorized transactions,
- our inability to contact you and our desire to confirm or obtain your current contact information,
- concerns we may have about your mental capacity as it relates to financial decision making,
- confirming the identity of any legal guardian, executor, trustee or other person or legal representative you may have.

A TCP is not the same as someone who can make decisions for you (for example, someone you have appointed to act under a Power of Attorney (POA) designation), and your TCP has no authority to make any investment decisions for you or otherwise ask us to provide them with any information about you or your account. Although you may select as your TCP someone whom you have designated, or are contemplating to designate, as your POA, you should consider naming someone who has no ability to make decisions for you or your accounts. We also encourage you to name a TCP who is not a joint account holder on your BMO or BMOII accounts.

You should name a TCP who you trust, is mature, and has the ability to communicate and engage with us about your personal circumstances. You may (i) appoint up to two TCPs, (ii) at any time change your TCP(s) by contacting a BMOII representative, (iii) at any time revoke this authorization and provide a new TCP, and/or (iv) refuse to provide the name of a TCP.

You should notify your TCP(s) that they have been named by you as your TCP(s) and the circumstances in which they may be contacted. Note that BMOII is not obligated in any circumstances to contact your TCP(s).

3 CONSENT TO ADD TRUSTED CONTACT PERSON

I, _____, hereby name the following individual(s) as my Trusted Contact Person(s):

(A)Trusted Contact:

☐ Mr. ☐ Mrs. ☐ Miss ☐ Ms. ☐ Dr. ☐ Other _____

Last Name

First Name

Telephone Number (Residence)

Telephone Number (Business)

Mobile Number (Residence)

Mobile Number (Business)

Email Address

Relationship to Customer

3 CONSENT TO ADD TRUSTED CONTACT PERSON (CONT'D)

(B) Trusted Contact:

☐ Mr. ☐ Mrs. ☐ Miss ☐ Ms. ☐ Dr. ☐ Other _____

Last Name

First Name

Telephone Number (Residence)

Telephone Number (Business)

Mobile Number (Residence)

Mobile Number (Business)

Email Address

Relationship to Customer

4 AUTHORIZATION

By completing this form and signing below, I hereby:

- acknowledge having (i) read and understood the *Our Relationship* brochure, (ii) received the BMO Investments Inc. Terms and Conditions (the "Terms and Conditions"), and (iii) read, understood and agreed to all applicable Terms and Conditions.
- acknowledge the purpose of this authorization and confirm the above information to be complete and accurate;
- consent to the name and designate the above-named TCP(s) for all my current and future BMOII accounts registered in my name and revoke any prior TCPs previously named and designated on my accounts (whether on the bank form or separately in writing);
- acknowledge that the above-named TCP(s) information provided on this form shall remain valid until such time as I revoke it by notifying BMOII.
- consent and authorize BMOII, or any of its employees, agents or other authorized representatives, and its affiliates to: (1) contact the above-named TCP(s) for all current and future accounts registered in my name; and (2) to share with my TCP, at BMOII's sole discretion and as BMOII deems necessary in the circumstance, to address and investigate the Concerns BMOII may have about me and/or my Account(s). Information BMOII may share with my TCP may include, among other things: the nature, holdings, and value of my Account(s); and/or the nature of BMOII's Concerns.
- agree to notify BMOII should any changes occur in the information provided above;
- acknowledge and understand that personal information collected on this form will be handled in compliance with BMO's Privacy Code; and
- release and discharge BMOII, its employees, agents and its affiliates from all claims, damages, losses, costs and expenses that may arise from, or relate to, the release of the information to my above-named TCPs.

In addition, I understand and acknowledge that by naming the above TCP(s) and by completing this form and signing below:

- I am not authorizing the TCP(s), or any other third parties, to make any investment decisions or transact any business with BMOII on my behalf; and
- I am not appointing the TCP(s) as my POA(s), and this form does not constitute an appointment of the TCP(s) as my POA(s).

Accountholder's Signature

Salesperson's Name

[_____]_____
Salesperson's Telephone Number

Salesperson's Signature

[D][D][M][M][M][Y][Y][Y][Y]
Date of accountholder authorization

5 REFUSAL TO NAME A TCP

☐ I hereby refuse to name a TCP but nevertheless understand that I may be asked by BMOII, its employees, agents and its affiliates, from time to time to reconsider naming a TCP for this account, or any other of my BMOII accounts.

Accountholder's Signature

Salesperson's Name

[_____]_____
Salesperson's Telephone Number

Salesperson's Signature

[D][D][M][M][M][Y][Y][Y][Y]
Date of accountholder authorization